

Governance, Risk and Compliance Services



Integrated and platform-based Enterprise Risk Management

Capgemini's GRC Services are a market first. They replace today's functional silo approach to periodic audits with a continuous service that provides monitoring of controls, transactions, and real time visibility of risk and compliance, while meeting statutory and regulatory requirements. The result is improved enterprise risk management and compliance at a fraction of the cost.

Audit is the primary tool for assessing and managing enterprise risk. Yet, in most organizations audits tend to be carried out independently by different functions and business units, without much coordination or consistency, leading to:

- **Excessive costs** of governance, risk and compliance programs that usually include multiple audits, which are disruptive to the work of both business users and compliance teams; they may also encompass unnecessary travel and external audit teams.
- **Lack of a common approach** to risk and compliance may result in inconsistent and incomparable assessment of risk.
- **Limited and untimely view of risk** at enterprise level as risk-related data is stored across different functions and systems, in inconsistent formats, often to be provided at the end of a reporting period. As such, executives make risk assessment decisions based on perceptions rather than hard data.

Despite a lot of effort, risk is not under control, audits tend to be reactive only, and organizations lack the agility to respond to changing regulations. The vision of true Enterprise Risk Management (ERM) – consistent, timely and uniform view of risk and controls as well as agility in cross-function response to regulatory changes – is seldom realized.

Why GRC as a business process?

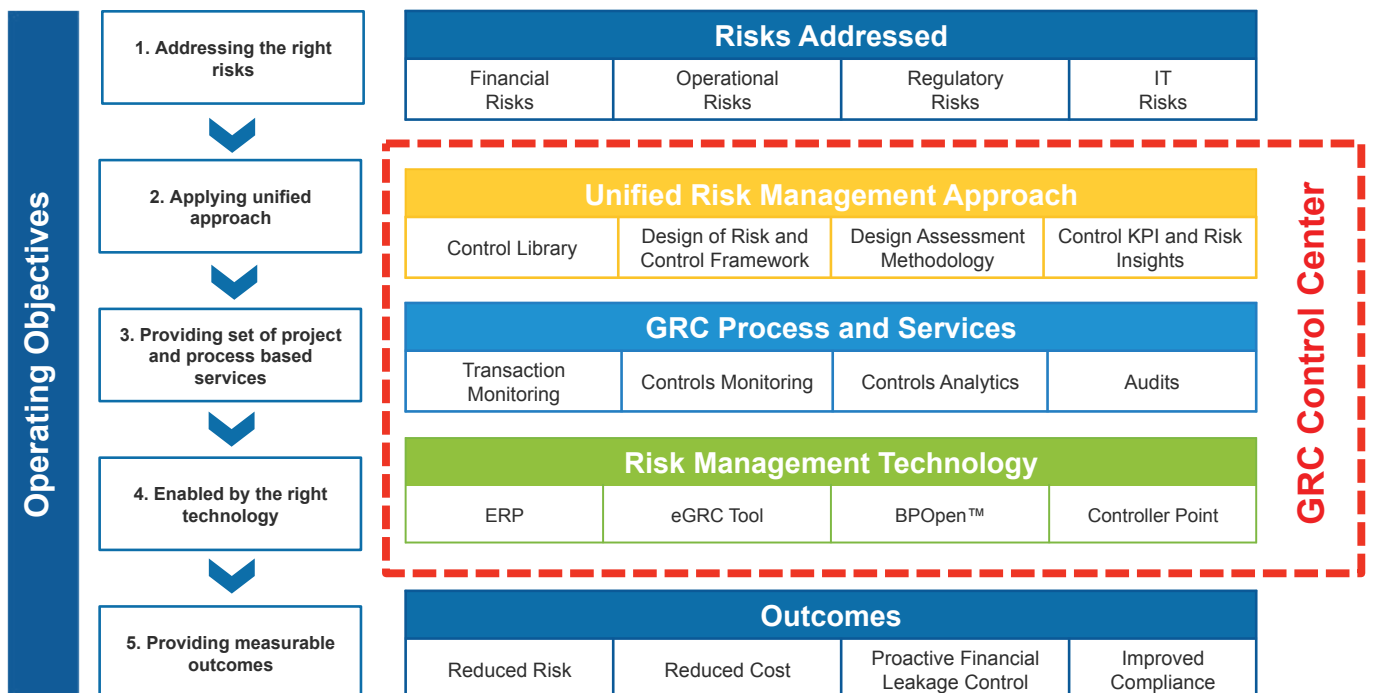
- Increasing pressure for further transparency and compliance
- Need for real time visibility
- Globalization and the related multiplication of regulations, data and risk
- Increasing use of new technologies causing additional risks
- Ballooning volume of data

The opportunity: A unified and integrated approach to GRC

Capgemini is the first to offer a holistic, enterprise-level GRC service. While other technology solutions exist, they are not sufficient for enabling the true integration that companies have long desired. The unique set-up of service covers continuous monitoring of transactions, controls, data analytics, and related audits. By equipping our highly skilled talent with leading-edge technology and a unified risk management approach, we are uniquely capable of transforming our clients' GRC processes to deliver outcomes that are aligned with the executive agenda.

Capgemini's GRC Services represent a proactive Enterprise Risk Management program. This program monitors risk and controls across the whole organization, and provides the various stakeholders with a consistent set of reports showing the performance of controls in each functional area. Key features of our service are as follows:

- Identifies and addresses the right and relevant enterprise-wide risks as applicable for the client and scope.
- Leverages Capgemini assets, including the Global Process Model® (GPM), to help the client build a custom Global Control Library and Unified Risk and Control Framework.
- Implements a uniform and standard process enabled through continuous, real time monitoring of transactions, controls and data analytics.
- Implements the right technology and platforms to enable delivery of service at optimal cost and quality.
- Delivers business outcomes – reduced risk, reduced costs, pro-active prevention of financial leakages, and improved compliance.



Implementing Capgemini's GRC

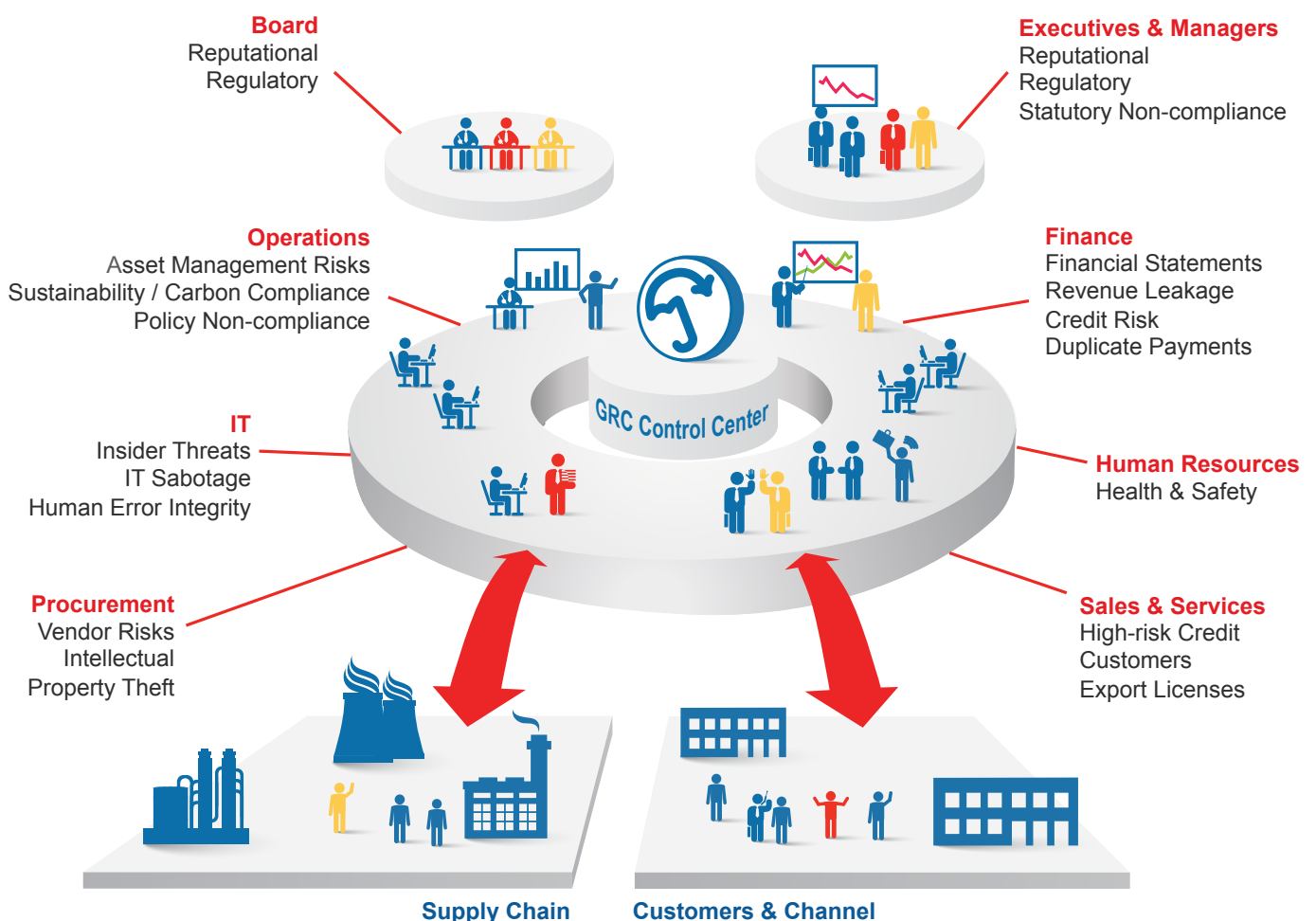
At the heart of Capgemini's GRC Services is the Control Center. It addresses governance, risk management, and compliance without duplication of effort. It enables managers to act proactively on risks instead of reacting after an audit. The center is equipped with methods and tools for monitoring both automated and manual controls:

- **Continuous Transaction Monitoring** – ensures accuracy in processing, monitoring of exceptions or control failures, reducing the risk of errors and fraud.
- **Continuous Controls Monitoring** – checks that key controls are carried out before month or quarter end, improving compliance.
- **Controls Analytics** – applies analytic techniques to transaction and financial data to highlight violation of any controls. It also highlights potential risks in real time to mitigate potentially huge costs of delays in identifying a risk.
- **Audit** – applies physical audit when it is a necessity or in exceptions identified in the above three layers, which require more detailed scrutiny.

What is Capgemini's GRC?

- Integrated control
- Real-time monitoring
- Process compliance
- Continuous visibility
- Real-time financial leakage prevention
- End-to-end analytics

GRC requires an integrated and centralized approach to break through traditional silos



Capgemini's Governance, Risk and Compliance Services are designed to satisfy governance, risk management, and compliance requirements across the entire enterprise, however large or diverse the organization.

Effective Enterprise Risk Management and Uniform Compliance – in real time and as a continuous process

- **Platform and tools:** Capgemini deploys a solution that includes a best-in-class GRC platform as well as an advanced analytics toolkit and reporting solution.
- **Benchmarked best practices:** Capgemini's Global Process Model® (GPM) control library is a repository of best practice controls for multiple processes, functions and industry verticals.
- **Professionally qualified team:** Capgemini's experienced team of Certified Public Auditors (CPA) and Certified Information Systems Auditors (CISA).
- **Delivery capability:** With expert centers in India, Guatemala and Brazil, and local centers in the USA and Europe, Capgemini's Rightshore® approach delivers the best service at the best price.
- **Real time analytics:** Capgemini's analytics team continuously evaluates risk exposure and potential impact in order to develop mitigation strategies.
- **ISO 31000 and COSO:** Capgemini applies international standards and guidelines on risk management.



About Capgemini

With more than 120,000 people in 40 countries, Capgemini is one of the world's foremost providers of consulting, technology and outsourcing services. The Group reported 2011 global revenues of EUR 9.7 billion

Together with its clients, Capgemini creates and delivers business and technology solutions that fit their needs and drive the results they want.

A deeply multicultural organization, Capgemini has developed its own way of working, the Collaborative Business Experience™, and draws on Rightshore®, its worldwide delivery model.

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