



*Implementing and operating effective Segregation of Duty solutions help reduce the risk of fraud and is instrumental in reducing potential operational errors. This is a mandatory key control necessary for all financial compliance requirements.*

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Capgemini's Business Services

# Segregation of Duties

An essential component of Governance, Risk Management and Compliance

## Does your business maintain a strong segregation of duties regime?

In recent times, most businesses have invested heavily in strong governance, risk management and compliance assurance. However, they continue to be subjected to immense pressure from their customers, shareholders and employees to strengthen accountability, demonstrate ethical practices and to face legislation change head-on.

This is particularly true when it comes to reducing the risk of fraud and penalties by ensuring that a strong segregation of duties (SOD) regime is maintained.

However, maintaining the SOD environment can be an operational difficulty when a business is faced with frequent changes in staff roles, difficulties in tracking audit and remediation status, challenges in managing user authorizations or maintaining rigor in access reviews, and, more frequently, a lack of visibility on access related risks – any one of which risks exposure to fines, penalties and litigations for SOD violations.

## Defend against fraud while enhancing control and transparency

Your organization could benefit from a comprehensive suite of Governance, Risk Management and Compliance (GRC) services that strengthen your organizational governance at





both the strategic and operational levels. As an important component of our GRC services, Segregation of Duties (SOD):

- Detects and prevents errors or irregularities on a timely basis.
- Minimizes the potential for deliberate fraud through collusion between two or more persons.
- Increases the likelihood that innocent errors will be found.
- Ensures that no single individual has control over two or more phases of a transaction or operation.
- Assigns responsibilities to ensure a crosscheck of duties.

By ensuring a robust defense against fraud and enhancing control and transparency, our Segregation of Duties service delivers real business value, including:

- Increased alignment of access authorizations with your business needs.
- Enhanced control over your privileged user access.
- Enhanced access management compliance with your internal policies.
- Increased responsiveness to your business by setting up a comprehensive library of SOD rules to support business needs with agility.
- Improved effectiveness of your internal controls regarding access management.
- Improved efficiency in the identification and remediation of deficiencies.

## Why Capgemini?

We've been in the business of implementing governance models for many years. In fact, we couldn't have established the strong reputation we have for business process outsourcing unless we were experts in GRC.

Over the years, we've built up a proficient GRC team that now boasts over 320 skilled people with qualifications and certifications that include CISA, CISSP, CISM, CRISC, PMP, ISO 27001, ITIL and chartered accountancy – all of who have completed successful projects across a wide range of industry sectors, such as consumer goods, retail, agribusiness, steel, breweries, entertainment, financial, procurement, automobile and energy. We have already achieved some impressive results for our clients, including for one client:

- Implemented segregation of duties for over 1,000 users.
- Removed over 30,000 conflicts.
- Created 150 parent roles and 3,000 child roles for 120 offices and units.
- Saved huge investment in SAP GRC licensing costs.
- Implemented and maintained segregation of duties with just three resources.
- Passed several rounds of internal and external audits without any exceptions for the past two years.
- Reduced operational risks and improved business processes for a range of clients.



Scan here to learn more about Capgemini's Segregation of Duties

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### Process supported

- Finance
- HR and payroll
- Materials management
- Process-to-pay
- Order-to-cash
- Basis and security
- Cross application

### Conflicting duties

- Creating and initiating payments to vendors.
- Creating and modifying invoices.
- Processing inventories and posting payments.
- Receiving checks and writing pay off.

## About Capgemini

A global leader in consulting, technology services and digital transformation, Capgemini is at the forefront of innovation to address the entire breadth of clients' opportunities in the evolving world of cloud, digital and platforms. Building on its strong 50-year heritage and deep industry-specific expertise, Capgemini enables organizations to realize their business ambitions through an array of services from strategy to operations. Capgemini is driven by the conviction that the business value of technology comes from and through people. It is a multicultural company of 200,000 team members in over 40 countries. The Group reported 2016 global revenues of EUR 12.5 billion.

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